

07-23-01

Receipt



EXPRESS MAIL NO. EL922181998US  
Attorney Docket No. P4816  
Client/Matter No. 80168.0095.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan F. McCARTNEY, Matthew S. FUNK, Julien  
MERCAY, and Herve MARMY

Serial No. 09/772,038

Filed: January 29, 2001

For: SYSTEM AND METHOD FOR DYNAMICALLY  
PUBLISHING XML-COMPLIANT DOCUMENTS

Group Art Unit: 2661

Examiner: not yet accorded

RECEIVED  
AUG 22 2001  
Technology Center 2600

RECEIVED  
AUG 23 2001  
Technology Center 2100

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Request to Correct Filing Receipt;
2. Copy of issued Filing Receipt with revision marked;
3. Return postcard

relating to the above application, were deposited as "Express Mail", Mailing Label  
No. EL922181998US with the United States Postal Service, addressed to The  
Assistant Commissioner for Patents, Washington, D.C., 20231, on 20 July 2001

20 July 2001  
Date  
19 July 2001  
Date

William J. Kubida  
Mailer

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON<sup>LLP</sup>  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax



Attorney Docket No. P4816  
Client/Matter No. 80168.0095.001  
Express Mail No. EL922181998US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan F. McCARTNEY, Matthew S. FUNK,  
Julien MERCAY, and Herve MARMY

Serial No. 09/772,038

Filed: January 29, 2001

For: SYSTEM AND METHOD FOR  
DYNAMICALLY PUBLISHING XML-  
COMPLIANT DOCUMENTS

Group Art Unit: 2661

Examiner: not yet accorded

RECEIVED  
AUG 22 2001  
Technology Center 2600

RECEIVED  
AUG 23 2001  
Technology Center 2100

REQUEST TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Office of Initial Patent Examination's  
Customer Service Center

Dear Sir:

We received an Updated Filing Receipt in the above case on June 15, 2001, which contains an error in the Domestic Priority data as claimed by applicant of the invention (copy enclosed).

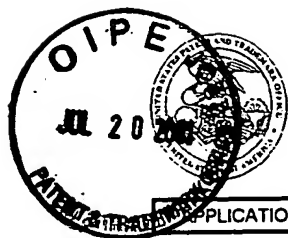
Application benefit should read 60/184,812, 2/24/00.

Please make the indicated correction on the Filing Receipt.

Respectfully submitted this 19<sup>th</sup> day of July, 2001.

Respectfully submitted,

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17<sup>th</sup> Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax



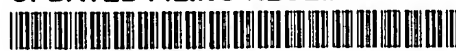
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/772,038	01/29/2001	2661	840	80168.0095.001	5	20	2

CONFIRMATION NO. 9024

## UPDATED FILING RECEIPT



\*OC000000006188250\*

William J. Kubida, Esq.  
Hogan & Hartson, LLP  
Suite 1500  
1200 17th Street  
Denver, CO 80202

RECEIVED

JUN 20 2001

HOGAN &amp; HARTSON, LLP

Date Mailed: 06/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alan F. McCartney, Nederland, CO;  
Matthew S. Funk, Superior, CO;  
Julien Mercay, Los Altos, CA;  
Herve Marmy, Esravayer-le-lac, SWITZERLAND;

## Domestic Priority data as claimed by applicant

812 2/24/00

THIS APPLN CLAIMS BENEFIT OF 60/184,182-02/22/2000 \*

(\*) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 03/29/2001

Projected Publication Date: 09/27/2001

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED  
AUG 22 2001  
Technology Center 2000

RECEIVED  
AUG 23 2001  
Technology Center 2100

System and method for dynamically publishing XML-compliant documents

**Preliminary Class**

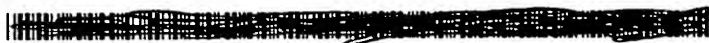
370

---

**Data entry by :** SALEEKUL, SIRILUCK

**Team :** OIPE

**Date:** 06/15/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 9024

<b>SERIAL NUMBER</b> 09/772,038	<b>FILING DATE</b> 01/29/2001 <b>RULE</b>	<b>CLASS</b> 370	<b>GROUP ART UNIT</b> 2661	<b>ATTORNEY DOCKET NO.</b> 80168.0095.001
<b>APPLICANTS</b> Alan F. McCartney, Nederland, CO; Matthew S. Funk, Superior, CO; Julien Mercay, Los Altos, CA; Herve Marmy, Esravayer-le-lac, SWITZERLAND;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/184,812 02/24/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 03/29/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CO	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 20
<b>INDEPENDENT CLAIMS</b> 2				
<b>ADDRESS</b> William J. Kubida, Esq. Hogan & Hartson, LLP Suite 1500 1200 17th Street Denver ,CO 80202				
<b>TITLE</b> System and method for dynamically publishing XML-compliant documents				
<b>FILING FEE RECEIVED</b> 840	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	